## ECE 451 Advanced Microwave Measurements

## 5a. Parallel-Plate Waveguides

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## Parallel-Plate Waveguide

**Maxwell's Equations**  $\rightarrow \nabla^2 \mathbf{E} + \omega^2 \mu \varepsilon \mathbf{E} = \mathbf{0}$ 





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## **TE Modes**

For a parallel-plate waveguide, the plates are infinite in the y-extent; we need to study the propagation in the z-direction. The following assumptions are made in the wave equation

$$\Rightarrow \frac{\partial}{\partial y} = 0, \text{ but } \frac{\partial}{\partial x} \neq 0 \text{ and } \frac{\partial}{\partial z} \neq 0$$
$$\Rightarrow \text{Assume } E_y \text{ only}$$

These two conditions define the **TE modes** and the wave equation is simplified to read

$$\frac{\partial^2 E_y}{\partial x^2} + \frac{\partial^2 E_y}{\partial z^2} = -\omega^2 \mu \varepsilon E_y \qquad (\clubsuit)$$



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## **Phasor Solution**

General solution (forward traveling wave)

$$E_{y}(x,z) = e^{-j\beta_{z}z} \left[ Ae^{-j\beta_{x}x} + Be^{+j\beta_{x}x} \right]$$

At x = 0,  $E_y = 0$  which leads to A + B = 0. Therefore,  $A = -B = E_o/2j$ , where  $E_o$  is an arbitrary constant

$$E_{y}(x,z) = E_{o}e^{-j\beta_{z}z}\sin\beta_{x}x$$

$$x = a$$

$$\mu, \varepsilon$$

$$x = 0$$

#### *a* is the distance separating the two PEC plates



## **Dispersion Relation**

At x = a,  $E_y(x, z) = 0$   $\Rightarrow$   $E_o e^{-j\beta_z z} \sin \beta_x a = 0$ 

This leads to:  $\beta_x a = m\pi$ , where m = 1, 2, 3, ...

$$\beta_x = \frac{m\pi}{a}$$

Moreover, from the differential equation (¥), we get the *dispersion relation* 

$$\beta_z^2 + \beta_x^2 = \omega^2 \mu \varepsilon = \beta^2$$

which leads to 
$$\beta_z = \sqrt{\omega^2 \mu \varepsilon - \left(\frac{m\pi}{a}\right)^2}$$



## **Guidance Condition**

$$\beta_z = \sqrt{\omega^2 \mu \varepsilon - \left(\frac{m\pi}{a}\right)^2}$$

where m = 1, 2, 3 ... Since propagation is to take place in the *z* direction, for the wave to propagate, we must have  $\beta_z^2 > 0$ , or

$$\omega^2 \mu \varepsilon > \left(\frac{m\pi}{a}\right)^2$$

This leads to the following *guidance condition* which will insure wave propagation

$$f > \frac{m}{2a\sqrt{\mu\varepsilon}}$$



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## **Cutoff Frequency**

The cutoff frequency  $f_c$  is defined to be at the onset of propagation

$$f_c = \frac{m}{2a\sqrt{\mu\varepsilon}} \qquad \qquad \lambda_c = \frac{v}{f_c} = \frac{2a}{m}$$

Each mode is referred to as the  $TE_m$  mode. It is obvious that there is no  $TE_0$  mode and the first TE mode is the  $TE_1$  mode.

The *cutoff frequency* is the frequency below which the mode associated with the index *m* will not propagate in the waveguide. Different modes will have different cutoff frequencies.



## **Magnetic Field for TE Modes**

From  $\nabla \times \mathbf{E} = -j\omega\mu\mathbf{H}$ 

we have 
$$\mathbf{H} = \frac{-1}{j\omega\mu} \begin{vmatrix} \hat{\mathbf{x}} & \hat{\mathbf{y}} & \hat{\mathbf{z}} \\ \frac{\partial}{\partial x} & \mathbf{0} & \frac{\partial}{\partial z} \\ \mathbf{0} & E_y & \mathbf{0} \end{vmatrix}$$

which leads to

$$H_{x} = -\frac{\beta_{z}}{\omega\mu} E_{o} e^{-j\beta_{z}z} \sin \beta_{x} x$$
$$H_{z} = +\frac{j\beta_{x}}{\omega\mu} E_{o} e^{-j\beta_{z}z} \cos \beta_{x} x$$

#### The magnetic field for TE modes has 2 components



## E & H Fields for TE Modes

$$x = a$$

$$\mu, \varepsilon$$

$$E = 0$$

$$\mu, \varepsilon$$

As can be seen, there is no  $H_y$  component, therefore, the TE solution has  $E_y$ ,  $H_x$  and  $H_z$  only.

From the dispersion relation, it can be shown that the propagation vector components satisfy the relations  $\beta_z = \beta \sin \theta$ ,  $\beta_x = \beta \cos \theta$  where  $\theta$  is the angle of incidence of the propagation vector with the normal to the conductor plates.



## Phase and Group Velocities

The phase and group velocities are given by

$$v_{pz} = \frac{\omega}{\beta_z} = \frac{c}{\sqrt{1 - \frac{f_c^2}{f^2}}}$$
 and  $v_g = \frac{\partial \omega}{\partial \beta_z} = c\sqrt{1 - \frac{f_c^2}{f^2}}$ 

The effective guide impedance is given by:

$$\eta_{TE} = \frac{E_y}{-H_x} = \frac{\eta_o}{\sqrt{1 - \frac{f_c^2}{f^2}}}$$



## Transverse Magnetic (TM) Modes

The magnetic field also satisfies the wave equation:

**Maxwell's Equations**  $\rightarrow \nabla^2 \mathbf{H} + \omega^2 \mu \varepsilon \mathbf{H} = \mathbf{0}$ 





## **TM Modes**

For TM modes, we assume

$$\Rightarrow \frac{\partial}{\partial y} = 0, \text{ but } \frac{\partial}{\partial x} \neq 0 \text{ and } \frac{\partial}{\partial z} \neq 0$$

 $\rightarrow$  Assume  $H_v$  only

These two conditions define the *TM modes* and the equations are simplified to read

$$\frac{\partial^2 H_y}{\partial x^2} + \frac{\partial^2 H_y}{\partial z^2} = -\omega^2 \mu \varepsilon H_y$$

General solution (forward traveling wave)

$$H_{y}(x,z) = e^{-j\beta_{z}z} \left[ A e^{-j\beta_{x}x} + B e^{+j\beta_{x}x} \right]$$



### **Electric Field for TM Modes**

From  $\nabla \times \mathbf{H} = -j\omega \varepsilon \mathbf{E}$ 

we get 
$$\mathbf{E} = \frac{1}{j\omega\varepsilon} \begin{vmatrix} \hat{\mathbf{x}} & \hat{\mathbf{y}} & \hat{\mathbf{z}} \\ \frac{\partial}{\partial x} & \mathbf{0} & \frac{\partial}{\partial z} \\ \mathbf{0} & H_y & \mathbf{0} \end{vmatrix}$$

This leads to

$$E_{x}(x,z) = \frac{\beta_{z}}{\omega\varepsilon} e^{-j\beta_{z}z} \left[ A e^{-j\beta_{x}x} + B e^{+j\beta_{x}x} \right]$$
$$E_{z}(x,z) = \frac{\beta_{x}}{\omega\varepsilon} e^{-j\beta_{z}z} \left[ -A e^{-j\beta_{x}x} + B e^{+j\beta_{x}x} \right]$$



## **TM Modes Fields**

At x=0,  $E_z = 0$  which leads to  $A = B = H_o/2$  where  $H_o$  is an arbitrary constant. This leads to

$$H_{y}(x,z) = H_{o}e^{-j\beta_{z}z}\cos\beta_{x}x$$
$$E_{x}(x,z) = \frac{\beta_{z}}{\omega\varepsilon}H_{o}e^{-j\beta_{z}z}\cos\beta_{x}x$$
$$E_{z}(x,z) = \frac{j\beta_{x}}{\omega\varepsilon}H_{o}e^{-j\beta_{z}z}\sin\beta_{x}x$$

At x = a,  $E_z = 0$  which leads to

$$\beta_x a = m\pi$$
, where  $m = 0, 1, 2, 3, ...$ 



## E & H Fields for TM Modes



This defines the TM modes which have only  $H_{y}$ ,  $E_x$  and  $E_z$  components.

The effective guide impedance is given by:

$$\eta_{TM} = \frac{E_x}{H_y} = \eta_o \sqrt{1 - \frac{f_c^2}{f^2}}$$

The electric field for TM modes has 2 components



## E & H Fields for TM Modes

### THE DISPERSION RELATION, GUIDANCE CONDITION AND CUTOFF EQUATIONS FOR A PARALLEL-PLATE WAVEGUIDE ARE THE SAME FOR TE AND TM MODES.

This defines the **TM modes**; each mode is referred to as the  $TM_m$  mode. It can be seen from that m=0 is a valid choice; it is called the  $TM_0$ , or *transverse electromagnetic* or TEM mode. For this mode and,



## **TEM Mode**

 $\beta_x=0$  and  $\beta_z = \beta$ . There are no *x* variations of the fields within the waveguide. The TEM mode has a cutoff frequency at DC and is always present in the waveguide.



The propagation characteristics of the TEM mode do not vary with frequency

# The TEM mode is the *fundamental* mode on a parallel-plate waveguide



### **Power for TE Modes**

**Time-Average Poynting Vector**  $\langle \mathbf{P} \rangle = \frac{1}{2} \operatorname{Re} \{ \mathbf{E} \times \mathbf{H}^* \}$ 

TE modes

$$\langle \mathbf{P} \rangle = \frac{1}{2} \operatorname{Re} \left\{ \hat{\mathbf{y}} E_{y} \times \left[ \hat{\mathbf{x}} H_{x}^{*} + \hat{\mathbf{z}} H_{z}^{*} \right] \right\}$$

$$\langle \mathbf{P} \rangle = \frac{1}{2} \operatorname{Re} \left\{ \hat{\mathbf{z}} \frac{\left| E_{o} \right|^{2}}{\omega \mu} \beta_{z} \sin^{2} \beta_{x} x + \hat{\mathbf{x}} j \frac{\left| E_{o} \right|^{2}}{\omega \mu} \beta_{x} \cos \beta_{x} x \sin \beta_{x} x \right\}$$

$$\langle \mathbf{P} \rangle = \hat{\mathbf{z}} \frac{\left| E_{o} \right|^{2}}{2\omega \mu} \beta_{z} \sin^{2} \beta_{x} x$$



## **Power for TM Modes**

#### TM modes

$$\left\langle \mathbf{P} \right\rangle = \frac{1}{2} \operatorname{Re} \left\{ \left[ \hat{\mathbf{x}} E_x + \hat{\mathbf{z}} E_z \right] \times \hat{\mathbf{y}} H_y^* \right\}$$
$$\left\langle \mathbf{P} \right\rangle = \frac{1}{2} \operatorname{Re} \left\{ \hat{\mathbf{z}} \frac{\left| H_o \right|^2}{\omega \varepsilon} \beta_z \cos^2 \beta_x x - \hat{\mathbf{x}} j \frac{\left| H_o \right|^2}{\omega \varepsilon} \beta_x \sin \beta_x x \cos \beta_x x \right\}$$
$$\left\langle \mathbf{P} \right\rangle = \hat{\mathbf{z}} \frac{\left| H_o \right|^2}{2\omega \varepsilon} \beta_z \cos^2 \beta_x x$$

The total time-average power is found by integrating <**P**> over the area of interest.

